

05-14-02

3711

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
0112300-739

In Re Application Of P Anthony J. Baerlocher et al.

Serial No. MAY 10 2002
09/964,168

Filing Date
September 26, 2001

Examiner
Unknown

Group Art Unit
3711

Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS

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Transmittal Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General - Patent Pending); Preliminary Amendment; Certificate of Express Mailing and Postcard Return Receipt.

in the above identified application.

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Adam H. Masia
Signature

Dated: 5/10/02

Adam H. Masia (Reg. No. 35,602)
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Chicago, Illinois 60690

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Anthony J. Baerlocher et al.**

Docket No.

0112300-739

Serial No.

09/964,102

Filing Date

September 26, 2001

Examiner

Unknown

Group Art Unit

3711

Invention:

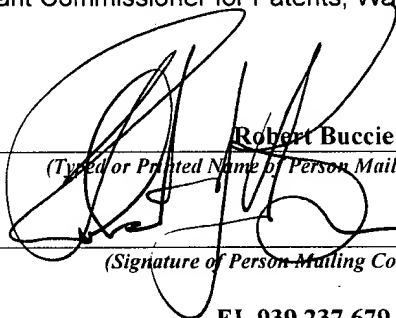
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

0112300-739

In Re Application Of: **Anthony J. Baerlocher et al.**

MAY 10 2002

Serial No.
09/964,102Filing Date
September 26, 2001Examiner
Unknown

Group Art Unit

3711

Title: **GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS**RECEIVED
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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-739

In Re Application: **Anthony J. Baerlocher et al.**

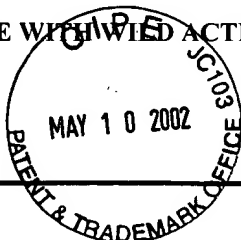
Serial No.
09/964,102

Filing Date
September 26, 2001

Examiner
Unknown

Group Art Unit
3711

GAMING DEVICE WITH ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS



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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Adam H. Masia
Signature

Dated: *5/10/02*

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#3.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher et al.
Appl. No.: 09/964,102
Filed: September 26, 2001
Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD
TERMINATION SYMBOLS
Art Unit: 3711
Examiner: Unknown
Docket No.: 0112300-739

TYPE
MAY 10 2002
PATENTS TRADEMARK OFFICE

Honorable Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
5,308,065	May 3, 1994	Bridgeman et al.
5,332,228	July 6, 1994	Schultz
5,423,539	June 13, 1995	Nagao
5,431,408	July 11, 1995	Adams
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6,322,078 B1	November 27, 2001	Adams
6,358,144 B1	March 19, 2002	Kaddlic et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
AU 199717601 B2	September 10, 1997	Australian
AU 199917318 A1	September 9, 1999	Australian
WO 9732285	September 4, 1997	Australian
EP 0984408 A2	March 8, 2000	European
WO 00/66235	November 9, 2000	PCT
WO 00/76606 A1	December 21, 2000	PCT

OTHER DOCUMENTS

Wild Streak Advertisement written by WMS Gaming, Inc., published in 2001.

Penguin Pays Advertisement written by Aristocrat Incorporated, published in 1998.

Black Swan Paytable Display written by IGT, published prior to 2001.

Jackpot Party Brochure and Articles written by WMS Gaming, Inc, published in 1988.

The Basics of Winning Video Poker (Chapter VI Deuces Wild & Chapter VII Jokers Wild) written by J. Edward Allen, published in 1990.

Enchanted Unicorn Advertisement written by IGT, published in 2001.

Introducing the "Smiling Ape" Machine Advertisement (including Joker's Wild Poker description) written by IGT, published prior to 2001.

Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune) written by Olympic Video Gaming, published dated unknown.

Description of Symbol Feature in Australian UFO Gaming Machine written by Barcrest Ltd., published in 1995.

REEL MAGIC™ Gaming Machine Description written by IGT, available in 1986.

ENCHANTED FOREST™ Gaming Description from Aristocrat, available in 1994.

Joker's Wild Advertisement written by IGT, published prior to 2001.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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